

## Q1 WEBINAR RECAP

### ENHANCING SMALL BUSINESS ETHICS AND COMPLIANCE EFFORTS



**60** Participants from  
**50** Companies

On March 24, 2022, DII hosted a webinar entitled Enhancing Small Business Ethics and Compliance Efforts

The discussion involved a wide-range of topics: (1) the global shift in anti-corruption and compliance with increased emphasis on Foreign Corrupt Practices Act violations; (2) other relevant laws the Government uses to enforce compliance such as the civil False Claims Act and Suspension/Debarment; (3) the risk of compliance failures in small business, including risk to primes and the Government; and (4) compliance challenges for small to medium-sized companies. The webinar also covered the basics of a comprehensive compliance program including a code of conduct and risk-tailored compliance policies and procedures, as well as robust internal controls and oversight. Panelists also explored actionable ways for small businesses to enhance ethics and compliance, including use of third-party resources and other best practices, as well as a discussion on policy-oriented changes to increase Government involvement that could meaningfully impact the compliance landscape for small businesses.

#### Featured Panelists:

##### **Sam Le**

Office of Policy Planning and Liaison,  
Office of Government Contracting and  
Business Development - U.S. Small  
Business Administration

##### **Elizabeth Sullivan**

President - Madison Services Group

##### **Jessica Tillipman**

Assistant Dean for Government  
Procurement Law Studies; Government  
Contracts Advisory Council Professorial  
Lecturer in Government Contracts Law,  
Practice & Policy - George Washington  
University Law School

##### **Nikki Williams**

VP of Compliance and Deputy General  
Counsel - ADS, Inc.

A recording of the webinar can be accessed on the All Member Forum community within MemberConnect.

# QUARTERLY NEWSLETTER

**Q1 | APRIL 4, 2022**

## DII'S 2022 BEST PRACTICES FORUM NOW OPEN FOR REGISTRATION

**June 8th and 9th**

Reston, VA (virtual dial-in options will be available)

**CLICK HERE TO REGISTER**

#### **Featured session:**

#### **CEO PANEL**

##### **Chris Kastner**

Huntington Ingalls

President and Chief Executive Officer

##### **John Pfeifer**

Oshkosh Corporation

President & Chief Executive Officer

##### **Chuck Prow**

Vectrus

President and Chief Executive Officer

##### **Kathy Warden**

Northrop Grumman

Chief Executive Officer & President

All materials from the 2022 Best Practices Form will be available to access on the All Member Forum within MemberConnect after the conference.

# 2021-2022 WORKING GROUP INITIATIVES

- Provide resources to drive strong ethics and compliance programs in our dynamic environment (pandemic, economic downturn, racial unrest).
- Enhance member recruitment efforts integrating feedback, programming and outreach to potential member companies.
- Engage with government stakeholders to forge a common understanding of ethics and compliance program best practices.

FOR 2021-2022, THE WORKING GROUP HAS DIVIDED INTO THREE PROJECT GROUPS FOCUSING THEIR EFFORTS ON THE ABOVE INITIATIVES. HIGHLIGHTS OF EACH GROUP'S PRIORITIES ARE OUTLINED BELOW:

**1**

## **Programming**

In 2021, the DII is excited to continue offering quarterly webinars to address timely and critical topics facing ethics and compliance professionals. Additionally, we are exploring offering program benchmarking sessions and/or a defense industry benchmark survey.

**2**

## **Enhancing Member Recruitment**

Enhance member recruiting efforts by integrating feedback on DII offerings, programming and outreach to potential member companies including advertising, company engagement, and updates to promotional documents.

**3**

## **Government Stakeholders**

Members of the subcommittee are working on various outreach initiatives including, but not limited to Defense Standards of Conduct Office (SOCO) and a number of agency Suspending and Debarring Officials to create relationships and foster engagement with DII in 2021.

# GENERAL UPDATES

## FEATURED TOOLS

### SUPPLIER CODE OF CONDUCT

The updated Supplier Code of Conduct is available for download on the DII website. The DII offers the Model Supplier Code of Conduct as a common guideline to be used by DII members to reduce redundancy and simplify the effort of setting expectations with suppliers. In addition to the content and graphical layout being updated, this latest version has a customizable logo and contact information area that allows companies to add their own information. Make it easier on yourself (by leveraging DII's work product) and your suppliers (standard document for multiple customers) by using the DII Model Supplier Code of Conduct.

### SMALL BUSINESS TOOLKIT

The Small Business Toolkit is now available in sections, which you can download separately on the DII website.

## DII WEBSITE - MEMBERCONNECT

We encourage the signatories of our member companies to logon to MemberConnect and take a moment to review/update/refresh your profiles.

### CALL A COLLEAGUE

Description: Call a Colleague is DII's revamped program designed for members that are in need of direct help or ideas on a litany of subjects. If you would like to participate as a mentee in this program, please log-in to MemberConnect and "opt-in." **Please click here** for instructions on how to add yourself as a mentee for our Call a Colleague program.